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<b>(21) International Application Number:</b> PCT/US93/09113 <b>(22) International Filing Date:</b> 24 September 1993 (24.09.93)  <b>(30) Priority data:</b> 951,565 25 September 1992 (25.09.92) US Not furnished 21 September 1993 (21.09.93) US  <b>(71) Applicant:</b> AUTOIMMUNE, INC. [US/US]; 128 Spring Street, Lexington, MA 02173 (US). <b>(72) Inventors:</b> WEINER, Howard, L. ; 114 Somerset Road, Brookline, MA 02146 (US). TRENTAM, David, E. ; 54 Standish Road, North Quincy, MA 02171 (US). <b>(74) Agents:</b> FRANKFORT, Howard, M. et al.; Darby & Darby, 805 Third Avenue, New York, NY 10022 (US).		<b>(81) Designated States:</b> AT, AU, BB, BG, BR, BY, CA, CH, CZ, DE, DK, ES, FI, GB, HU, JP, KP, KR, KZ, LK, LU, LV, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SK, UA, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
<b>(54) Title:</b> METHOD OF TREATING RHEUMATOID ARTHRITIS WITH TYPE II COLLAGEN  <b>(57) Abstract</b>  The present invention is directed to a method and pharmaceutical formulations for the treatment of autoimmune arthritis and animal models therefor in mammals, including humans, by the oral, enteral or by-inhalation administration of whole collagen protein or biologically active peptide fragments of collagen.		

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**METHOD OF TREATING RHEUMATOID  
ARTHRITIS WITH TYPE II COLLAGEN**

This patent application is a continuation-in-part of:  
Weiner et al., U.S. Patent Application Serial No.  
15 951,565 filed September 25, 1992 which turn is a continuation-in-  
part of Weiner et al., U.S. Patent Applications Serial Nos.  
460,852 filed February 21, 1990, and Serial No. 596,936, filed  
October 15, 1990 (the former being the national stage of PCT  
Application No. PCT/US88/02139, filed June 24, 1988, the latter  
20 being identical to PCT/US91/07542) which in turn are continua-  
tion-in-part applications of U.S. Patent Application Serial No.  
065,734 filed June 24, 1987, now abandoned;

Weiner et al., U.S. Patent Application Serial No.  
454,806 filed December 20, 1989;

25 Weiner et al., U.S. Patent Application Serial No.  
487,732 filed March 2, 1990;

Weiner et al., U.S. Patent Application Serial No.  
809,206 filed December 13, 1991, a continuation application of  
U.S. Application Serial No. 551,632 filed July 10, 1990, in turn  
30 a continuation-in-part application of U.S. Patent Application  
Serial No. 379,778, filed July 14, 1989 (now abandoned);

Weiner et al., U.S. Patent Application Serial No.  
595,468, filed October 10, 1990; and

Weiner et al., U.S. Patent Application Serial No.  
35 843,752, filed February 28, 1992.

The foregoing applications are incorporated by  
reference herein in their entireties.

FIELD OF THE INVENTION

The present invention pertains to treatment of autoimmune arthritis in humans. Specifically, the invention is directed to oral, enteral or by-inhalation administration of collagen or fragments or analogs to humans to induce specific suppression of the autoimmune response involved in rheumatoid and more generally in autoimmune arthritis. The invention is also directed to pharmaceutical formulations useful in the treatment of arthritis in humans comprising whole collagen, arthritis-suppressive peptide fragments thereof, and combinations of two or more of the foregoing.

BACKGROUND OF THE INVENTION

Collagen is the most common protein in the structural support of the human or mammalian body. Collagen's basic elemental unit is the tropocollagen protein. Tropocollagen is composed of three polypeptide chains of the same size. These chains are wound about each other forming a superhelical cable or a triple-stranded helical rod. Each of the three chains in tropocollagen consists of about a thousand amino acid residues.

Five different types of collagen proteins are currently recognized as distinct, differing in amino acid composition and length. Type I collagen is composed of two alpha-1(I) and one alpha-2 polypeptide chains. Type I collagen is mostly found in the supporting structure of skin tissue, tendon, bone and in the eye cornea. Type II collagen contains three polypeptide chains of the alpha-1(II) type and is found primarily in articular cartilage, within the intervertebral discs and in the vitreous body within the eye. Type III collagen is composed of three alpha-1(III) polypeptide chains and is found in tissues such as fetal skin, the cardiovascular system and reticular fibers in the eye. Type IV collagen has a mixture of two alpha-1(IV) and one alpha-2(IV) polypeptide chains and is primarily found in basement membranes. Finally, Type V collagen has two alpha-1 (V) and one alpha-2(V) polypeptide chains and is found, e.g. in placenta and

skin. A comparison of the human alpha-1(II), bovine alpha-1(II) and bovine alpha-1(I) amino acid sequences is provided in Appendix A. The bovine sequences are partial.

Rheumatoid arthritis is a cell-mediated autoimmune disease, i.e. a condition where the immune system mistakenly perceives the body's own tissue as foreign and mounts an abnormal immune response against it. Rheumatoid arthritis is characterized by persistent inflammatory synovitis that causes destruction of cartilage and bone erosion, leading to structural deformities in the peripheral joints. Joints containing articular cartilage of which Type II collagen is a major component are particularly affected.

Rheumatoid arthritis is accompanied by joint swelling, inflammation, stiffness and pain especially upon flexing. In the advanced stages of arthritis, debilitating pain may result from even a slight movement of the joints. A substantial percentage of afflicted humans possess T-cells of the CD4+ type specifically reactive with collagen and/or have an abnormal humoral response against collagen.

Present treatment for arthritis involves use of nonspecific cytotoxic immunosuppressive drugs. These drugs suppress the entire immune system and are incapable of selectively suppressing the abnormal autoimmune response. This global restraint of the immune system over time increases the risk of infection. Non-limiting examples of such immunosuppressive drugs include methotrexate, cyclophosphamide, Imuran (azathioprine) and cyclosporin A.

Additionally, prolonged therapy with these nonspecific cytotoxic immunosuppressive drugs entails toxic side effects, including increased tendency towards development of certain malignancies, kidney failure, diabetes and liver function disorders. Moreover, cytotoxic immunosuppressive drug therapy merely slows down the progress of the disease, which resumes at an accelerated pace after the therapy is discontinued. For example, about six weeks after such a drug is discontinued, the

patient deteriorates to the same stage as before the treatment was begun. In addition, effectiveness of these drugs is self-limiting; they gradually cease being effective after about 2-5 years.

5 Steroid compounds such as prednisone and methylprednisolone (which are also non-specific immunosuppressive and anti-inflammatory drugs) are also used for symptomatic relief. Steroids also have significant toxic side effects associated with their long term use.

10 Thus, current treatments for arthritis are of limited efficacy, involve significant toxic side effects, and cannot be used indefinitely. Rheumatoid arthritis afflicts over 2 million individuals in the United States in any given year. Accordingly, there is an acute need for novel treatments and for novel  
15 therapeutic compositions for human autoimmune arthritis that do not suffer from one or more of the drawbacks identified above.

An alternative treatment for arthritis is the oral antigen tolerization therapy proposed by the present inventors. It involves the oral, enteral, or by-inhalation administration of  
20 one or more tissue-specific antigens (i.e. antigens occurring only in the tissue under autoimmune attack) which have the ability to suppress the autoimmune response responsible for a particular autoimmune disease specifically, thus leaving other immune functions essentially intact.

25 The antigens useful in this approach generally include autoantigens, i.e. tissue-specific antigens that are themselves the subject of autoimmune attack. Bystander antigens, which are also tissue-specific (but are not the target of autoimmune attack) also possess the ability to elicit suppressor T-cells  
30 which are targeted to the afflicted tissue where they exert their immune suppressive activity via the release of transforming growth factor-beta (TGF- $\beta$ ). TGF- $\beta$  in turn down-regulates all immune cells concentrated in the vicinity of the afflicted tissue, thereby suppressing immune responses in that locality.  
35 Bystander antigens include without limitation portions of

autoantigens that (i) are not themselves the target of autoimmune attack and (ii) possess the requisite suppressive activity via elicitation of suppressor T-cells.

Prior to the work of the present inventors, oral  
5 antigen tolerance therapy for arthritis had been tried only on artificially induced arthritis-like diseases in animals (adjuvant arthritis and collagen-induced arthritis).

Regardless of the correlation that may exist between human autoimmune arthritis and its animal (rodent) models, oral,  
10 enteral or inhalatory antigen tolerization had never been tried in humans afflicted with arthritis. Moreover, in the rodent models, collagen was shown only to prevent disease induction and had no or minimal effect on pre-induced disease.

The role of collagen in arthritis and its models, has  
15 been the subject of many publications, including some describing the oral use of collagen to prevent disease induction in the rodent model. A chronological summary of the state of the art follows.

Collagen was shown to be the tissue under autoimmune  
20 attack in the artificially induced cell-mediated autoimmune arthritis model by Trentham, D. E. et. al., J. Clin. Invest., 66:1109-1117, Nov. 1980. The authors showed that both humoral and cellular autoimmunity to type I and type II collagen is a feature of both adjuvant- and collagen-induced arthritis in rats.

25 Schoen, R. T. et. al., J. Immunol., 128:717-719, February 1982, found that type I collagen, unlike type II collagen, coupled artificially to naive spleen cells and injected into normal animals was ineffectual in preventing inducement of arthritis-like disease.

30 Thompson, H. S. G. et. al., Clin. Exp. Immunol., 64:581-586, 1986, reported resistance to induction of a polyarthritis model in rats after prophylactic intragastric administration of soluble type II collagen protein (2.5 or 25  $\mu$ g/g body weight/day for 5 days). The lower amount, 2.5  $\mu$ g/g, was slightly  
35 more effective.

Nagler-Anderson, C. et. al., Proc. Natl. Acad. Sci. USA, 83:, Oct. 1986, pp. 260 - 262, that prophylactic intragastric administration (500  $\mu$ g/mouse/12 times in 6 weeks) of undenatured soluble type II collagen was found to suppress  
5 inducement of arthritis in DBA/1 Lac J mice by challenge with an adjuvant and collagen mixture.

Myers, L. K. et. al., J. Immunol., 143:3976-3980, December 1989, that the intravenous administration of type II but not type I collagen protein would confer resistance to subsequently induced arthritis-like disease in animal models. In a  
10 subsequent publication, the same group, J. Exp. Med., 170:1999-2010, December 1989, cite three other references for the same proposition: Schoen et. al., supra; Cremer et. al., J. Immunol., 87:2995, 1983; and Englert et. al., Cell. Immunol., 87:357, 1984.

15 In this same J. Exp. Med. publication the authors state that they have identified a fragment of chicken type II collagen (CB11 corresponding to amino acid residues 122-147) which upon intravenous administration to mice prior to challenge conferred protection against collagen induced arthritis. This peptide had  
20 the sequence P-T-G-P-L-G-P-K-G-Q-T-G-E-L-G-I-A-G-F-K-G-E-Q-G-P-K.

In summary, the most relevant art teachings are limited as follows:

- experiments were conducted solely on induced animal models;
- 25 - animals tested were limited to species susceptible to disease induction;
- the treatment was ineffective against already induced disease;
- intravenous administration of type I collagen was  
30 ineffective to prevent disease inducement.
- one immunosuppressive epitope of chick type II collagen intravenously administered to mice was identified.

#### OBJECTS OF THE INVENTION

35 An object of the invention is to provide a treatment



for human autoimmune arthritis including without limitation rheumatoid arthritis and polychondritis.

Another object of the invention is to specifically suppress the abnormal immune response attacking cartilage and  
5 resulting in subchondral bone deterioration in human beings.

An additional object of the invention is to provide a clinical treatment for autoimmune arthritis without undesirable side effects in human beings, such as one or more of those associated with conventional therapy.

10 A further object of the invention is to significantly reduce symptoms associated with arthritis such as one or more of swelling, inflammation, stiffness and pain of the joints in mammals, particularly human beings.

Yet another object of the invention is to provide  
15 pharmaceutical formulations useful in the treatment of human rheumatoid arthritis.

The present invention is further described with respect to the annexed drawings in which:

Figure 1 is the average number of swollen joints  $\pm$  one  
20 standard error at entry and each monthly follow-up for the collagen- (•) and placebo- (o) treated patients. A significant difference ( $P = 0.026$ ) between collagen and placebo patients is demonstrated by repeated measures of variance.

Figure 2 shows the suppressive ability of whole  
25 collagen.

Figure 3 represents the results of an experiment in which CDP1 was tested at 1, 10 and 100  $\mu\text{g}$  and showed marked suppression at 100  $\mu\text{g}$ .

Figure 4 shows another experiment in which CDP2 at 1  $\mu\text{g}$   
30 and 10  $\mu\text{g}$  induced some suppression, especially at the early stages of AA.

Figure 5 shows a fourth experiment in which CDP3 at 1 and 10  $\mu\text{g}$  induced suppression.

In summary, CDP1 at 100  $\mu\text{g}$ , CDP2 at 10 and 1  $\mu\text{g}$  and  
35 CDP3 at 1 and 10  $\mu\text{g}$  clearly induced some suppression.

SUMMARY OF THE INVENTION

One or more of the foregoing objects are achieved in a therapeutic treatment for autoimmune arthritis in humans by providing pharmaceutical formulations for oral, enteral or by  
5 inhalation administration comprising at least one of collagen protein or a peptide fragment thereof or analogs of the foregoing with or without a synergist in an effective amount and by providing methods for treating such arthritis comprising the oral, enteral or by-inhalation administration to afflicted humans  
10 of said formulations in effective amounts.

DETAILED DESCRIPTION OF THE INVENTION

All patent applications, patents and literature references cited in this specification are hereby incorporated by reference in their entirety. In case of inconsistency, the  
15 present description including the definitions and interpretations contained herein will prevail.

Definitions

Each of the following terms used in this disclosure shall have the meaning ascribed to it below:

20 "Treatment" includes both prophylactic measures to prevent the onset and appearance of arthritis as well as to prevent the onset and appearance of the abnormal immune response against the body's own cartilage involved in arthritis. The term also encompasses the suppression or mitigation of the abnormal  
25 (cell and/or humoral) immune response to the body's own collagen or more generally cartilage as well as the alleviation or elimination of clinical symptoms after the onset (i.e. clinical manifestation) of autoimmune arthritis.

"Mammal" is any organism having an immune system and  
30 being susceptible to an autoimmune disease. This term encompasses human beings.

"Autoimmune disease" is defined as a malfunction of the immune system of mammals, in which the immune system fails to distinguish between foreign substances within the mammal and/or  
35 autologous tissues or substances and, as a result, treats

autologous tissues and substances as if they were foreign and mounts an immune response against them.

"Active fragment" of type I, type II or type III collagen protein describes any synthetic peptide or polypeptide construct consisting essentially of one or more partial amino acid sequences of collagen protein and possessing the ability to suppress or eliminate T-cell mediated or T-cell dependent autoimmune response to collagen, upon oral, enteral or by-inhalation administration. This definition thus excludes fragments of the collagen protein that do not produce suppression of the autoimmune response in vitro, e.g. in a lymphocyte proliferation assay or in vivo, e.g. in a rodent model or in a human.

"Active analogs" of type I, type II or type III collagen protein include compounds that are structurally related to type I, type II or type III collagen protein or to active fragments thereof. As such, the terms includes any without limitation combination of alpha 1 (I or II) and alpha 2 (I) tropocollagen polypeptide chains or fragments thereof possessing the ability to eliminate or suppress T-cell mediated or T-cell dependent autoimmune response to collagen, upon oral, enteral or by-inhalation administration. The term "analog" also encompasses any polypeptide which differs from the amino acid sequence of alpha 1 (I, II or III) and/or alpha 2 (I) tropocollagen polypeptide chains by one or more amino acids while still retaining substantially equivalent ability to suppress arthritis immune response.

"Synergists" are defined as substances which augment or enhance the suppression of the clinical and/or histological manifestation of arthritis when administered orally, enterally or by inhalation in conjunction with the administration of collagen protein or at least one of their active fragments or their analogs. As used in the preceding sentence, and elsewhere in this specification, "in conjunction with" (also referred to herein as in association with) means before, substantially simultaneously with or after oral, enteral or by-inhalation

administration of collagen protein or at least one of its active fragments or their active analogs. Naturally, administration of the conjoined substance should not precede nor follow administration of collagen by so long an interval of time that the relevant effects of the substance administered first have worn off. Therefore, synergists should usually be administered within about 24 hours before or after collagen protein or its biologically active peptide fragments and preferably within about one hour.

"Oral" administration includes oral, enteral or intragastric administration. Oral administration which does not bypass the stomach is preferred.

The present invention is based on the discovery and confirmation that oral, enteral or by-inhalation administration of type I, type II or type III collagen or its biologically active peptide fragments in small amounts is a particularly effective means of suppressing T-cell-mediated or T-cell dependent autoimmune and particularly rheumatoid arthritis in humans. Thus, as demonstrated below, the simple method of administration, orally, enterally or by inhalation, of at least one of type I, type II or type III collagen or active fragments or analogs of at least one of them, as taught by the invention, is an effective treatment to suppress the development of arthritis. Furthermore, the compositions and method of the invention do not have the drawbacks described above and associated with prior art therapeutic or palliative agents and techniques.

Oral, enteral or by-inhalation induced tolerance is dose-dependent over a broad range of oral, enteral and inhalant dosages. However, there are minimum and maximum effective dosages. As is understood by one skilled in the art, this means that suppression of both clinical and histological symptoms of arthritis occurs within a specific dosage range which varies as a function of the type of the collagen protein administered, whether it is whole protein or discrete peptide fragment(s) or analog(s), as well as the solubility and purity of the peptides

or polypeptides. Moreover, the age, sex and physical condition of the patient, as well as other concurrent treatments being administered also have a bearing on the effective dosage of collagen protein for treatment. Consequently, adjustment and  
5 refinement of the dosages used and administration schedules must be determined based on these factors, and may need to be determined experimentally. Such determinations, however, require no more than routine experimentation. Type II collagen and its fragments and analogs are most preferred.

10 Generally, the preferred way to accomplish suppression of the immune responses against the human body's collagen in arthritis is the administration, orally, enterally or by inhalation of purified or highly purified water-soluble whole type I, type II or type III collagen protein or its biologically  
15 active peptide fragment(s) in an amount from about 0.05 to about 10 mg/day. The administration of collagen or its biologically active peptide fragments may be accomplished in a single dose form or multiple dose form. Preferably, the whole collagen protein is administered at dosage from 0.1 to 1 milligram per day  
20 and this dosage is particularly preferred for type II collagen. The foregoing dosages can be easily extrapolated to other types of collagen as well as to fragments and analogs, in light of the present disclosure and in light of the fact that in rats a type II collagen amount as small as 3 micrograms/day was immunosup-  
25 pressive, the preferred amount was 30 micrograms/day and an amount of 300 micrograms per day was too high as it lost effectiveness. Z.J. Zhang, et al., J. Immunol. 145:2489-2493, 1990.

In addition, synergists can be conjoined in the  
30 treatment to enhance the effectiveness of the above. Non-limiting examples of synergists for use in the present invention include bacterial lipopolysaccharides from a wide variety of gram negative bacteria such as various subtypes of E. coli and Salmonella (LPS, Sigma Chemical Co., St. Louis, MO; Difco,  
35 Detroit, MI; BIOMOL Res. Labs., Plymouth, PA), Lipid A (Sigma

Chemical Co., St. Louis, MO; ICN Biochemicals, Cleveland, OH; Polysciences, Inc., Warrington, PA) and immunoregulatory lipoproteins, such as peptides covalently linked to tripalmitoyl-S-glycerylcysteinyl-seryl-serine (P<sub>3</sub>C55) which can be obtained as disclosed in Braun, V., Biochim. Biophys. Acta 435:335-337, 1976. LPS is preferred and Lipid A particularly preferred. Lipid A is particularly preferred for use in the present invention because it is less toxic than the entire LPS molecule. LPS for use in the present invention can be extracted from gram negative bacteria and purified using the method of Galanes et. al. (Eur. J. Biochem. 9:245, 1969) and Skelly, R. R., et. al., (Infect. Immun. 23:287, 1979).

#### Formulations

In another aspect, the present invention also provides oral pharmaceutical formulations for treating mammals suffering from arthritis comprising an amount of whole collagen protein or its biologically active peptide fragment(s) (as described below) or analogs effective to suppress autoimmune arthritis of an animal model thereof. The formulations optionally further comprise a synergist as disclosed in co-pending U.S. Patent Application, Serial No. 487,732, filed March 2, 1990 in an amount effective (in conjunction with the tolerizing antigen of the present invention) to treat the clinical symptoms of arthritis. Synergists, when administered in conjunction with whole type I, type II or type III collagen protein or its active peptide fragment(s) or analog(s), cause an increase of cytokines PGE (prostaglandin-E) and IL-4 (interleukin-4) in the vicinity of the collagen tissue under immune attack. Liquid aqueous formulations containing soluble collagen, preferably type II or type III and most preferably type II with an acid pH (e.g. 0.01M acetic acid) are preferred. The pH adjusting agent can be any pharmaceutically acceptable acidic agent and a buffer may also be included. The preferred pH range is 4 to 5. A solid composition can also be preferably administered, after it is dissolved in an acidic aqueous medium such as 0.01M acetic acid.

Throughout this discussion, it will be understood that any clinically or statistically significant attenuation of even one symptom of arthritis pursuant to the treatment of the present invention is within the scope of the invention. Such symptoms include joint tenderness, joint swelling, AM stiffness, grip strength reduction, slowness in walking. In normal individuals, the first three aforementioned symptoms are absent, a 50 foot walk should take less than 9 seconds and adult grip strength should be more than 200 mm Hg (although strength varies with age, sex and physical condition). Clinically significant attenuation means perceptible to the patient (as in the case of tenderness or general well-being) and/or to the physician (as in the case of joint swelling). For example, a perceptible difference in swelling or tenderness in even one arthritic joint is significant. Absence of swelling or tenderness in a previously affected joint is most significant. For those parameters that are capable of measurement, a difference of 1 second in the case of the 50-foot walk, or 5 mm Hg in the case of strength or 15 minutes in AM stiffness is significant.

In addition, the ability to refrain from administration of cytotoxic drugs or other anti-inflammatory agents is also significant. Thus, even if the patient did not improve he/she is still deemed to have received a significant benefit if cytotoxic drugs and/or anti-inflammatory agent administration did not have to resume, and the patient is kept in the same condition or progressive disease state as with these conventional drugs.

Each oral, enteral or inhalable formulation according to the present invention may additionally comprise inert constituents including pharmaceutically acceptable carriers, diluents, fillers, solubilizing or emulsifying agents, and salts, as is well-known in the art. For example, tablets may be formulated in accordance with conventional procedures employing solid carriers well-known in the art. Capsules employed in the present invention may be made from any pharmaceutically acceptable material, such as gelatin, or cellulose derivatives.

Sustained release oral delivery systems and/or enteric coatings for orally administered dosage forms are also contemplated, such as those described in U.S. Patent No. 4,556,552, issued December 3, 1985; U.S. Patent No. 4,309,404, issued January 5, 1982; and  
5 U.S. Patent No. 4,309,406, issued January 5, 1982.

Examples of solid carriers include starch, sugar, bentonite, silica, and other commonly used carriers. Further non-limiting examples of carriers and diluents which may be used in the formulations of the present invention include saline, syrup,  
10 dextrose, and water.

It will be appreciated that unit content of active ingredient(s), whole collagen or its active fragment(s) or analog(s), contained in an individual dose of each dosage form need not in itself constitute an effective amount, since the  
15 necessary effective amount can be reached by administration of a plurality of dosage units (such as capsules or tablets or combinations thereof). Administration of an effective dosage may be in a single dose form or in multiple dosage forms and it may be provided with an enteric coating and/or a sustained release  
20 mechanism, such as a degradable matrix or a reservoir.

Where whole collagen protein or its biologically active peptide fragment(s) are introduced orally or enterally, it may be mixed with other ingestible forms and consumed in solid, semi-solid solution, suspension, or emulsion form. It may also be  
25 mixed in conjunction or alternatively with pharmaceutically acceptable carriers, flavor enhancers, water, suspending agents and emulsifying agents.

The effective amount of a synergist, e.g., LPS or Lipid A, may be administered in conjunction with whole type I, type II  
30 or type III collagen or its active fragment(s) or analog(s) the amount of synergist being in the range of about 0.01 mg and 100 mg per day and preferably between about 0.1 mg and 10 mg per day.

In an alternative preferred embodiment of the present invention the pharmaceutical formulations or dosage forms of the  
35 present invention can also be administered to humans suffering



from arthritis by inhalation, preferably in aerosol form. The inhalation mode of administration is preferably not through the nasal mucosa but through the bronchial and pulmonary mucosa. It is expected that lower amounts of whole collagen or its active  
5 fragment(s) or analog(s) of the present invention will be required using aerosol administration for treating arthritis as it has been found when treating adjuvant arthritis with collagen as disclosed in co-pending U.S. Patent Application Serial No. 454,486 filed December 20, 1989. The amounts of whole collagen  
10 or its active peptide fragment(s) or analog(s) of the present invention which may be administered in an aerosol dosage form would be between about 0.01 milligram and about 5 milligrams per day (and preferably 0.01 to 0.5 mg per day) and may optionally include a synergist in amounts ranging between about 0.01 and  
15 about 100 mg per day and may be administered in single dosage form or multiple dosage forms. The exact amount to be administered may vary depending on the state and severity of a patient's disease and the physical condition of the patient.

The aerosol pharmaceutical formulations of the present  
20 invention may include, as optional ingredients, pharmaceutically acceptable carriers, diluents, solubilizing and emulsifying agents, and salts of the type that are well-known in the art. Nonlimiting examples of such substances include normal saline solutions, such as physiologically buffered saline solutions, and  
25 water.

The route of administration of whole collagen or its active fragment(s) or analog(s) according to this alternate embodiment of the present invention is in an aerosol or inhaled form. The whole collagen or its active fragment(s) with or  
30 without a synergist can be administered as dry powder particles or preferably as an atomized aqueous solution suspended in a carrier gas (i.e. air or N<sub>2</sub>). Preferred aerosol pharmaceutical formulations may comprise, for example, a physiologically-acceptable buffered saline solution containing between about 0.01  
35 milligram and up to about 5 (preferably up to about 0.5) milli-

grams of whole collagen or its active fragment(s) or analog(s) according to the present invention.

Dry aerosol in the form of finely divided solid particles of whole collagen or its biologically active peptide  
5 fragment(s) that are not dissolved or suspended in a liquid are also useful in the practice of the present invention. The whole collagen or its active fragment(s) or analog(s) may be in the form of dusting powders and comprise finely divided particles having an average particle size of between 1 and 5 microns,  
10 preferably between 2 and 3 microns. Finely divided particles may be prepared by pulverization and screen filtration using techniques well known in the art. The particles may be administered by inhaling a predetermined quantity of the finely divided material, which can be in the form of a powder.

15 Specific non-limiting examples of the carriers and/or diluents that are useful in the aerosol pharmaceutical formulations of the present invention include water and physiologically-acceptable buffered saline solutions such as phosphate buffered saline solution pH 7.0 - 8.0. Additional non-limiting examples of  
20 suitable carriers or diluents for use in the aerosol pharmaceutical formulations or dosage forms of the present invention are disclosed in U.S. Patent Nos. 4,659,696 issued April 21, 1987; 4,863,720 issued September 5, 1989; and 4,698,332 issued October 6, 1987.

25 The pharmaceutical formulations of the present invention may be administered in the form of an aerosol spray using, for example, a nebulizer such as those described in U.S. Patent Nos. 4,624,251 issued November 25, 1986; 3,703,173 issued November 21, 1972; 3,561,444 issued February 9, 1971 and  
30 4,635,627 issued January 13, 1971. The aerosol material is inhaled by the subject to be treated.

Other systems of aerosol delivery, such as the pressurized metered dose inhaler (MDI) and the dry powder inhaler as disclosed in Newman, S. P. in Aerosols and the Lung, Clarke,  
35 S. W. and Davia, D., eds., pp. 197 - 224, Butterworths, London,

England, 1984, can be used when practicing the present invention.

Aerosol delivery systems of the type disclosed herein are available from numerous commercial sources including Fisons Corporation (Bedford, MA), Schering Corp. (Kenilworth, NJ) and  
5 American Pharmoseal Co. (Valencia, CA).

The present inventors have also discovered biologically active peptide fragment(s) of type II collage protein that induce suppression or arthritis in the animal model when orally administered. Most of these peptide fragments have not been  
10 disclosed or suggested before the present invention and none had been used as oral tolerizers before. Oral, enteral or by-inhalation administration of peptides with oral tolerizer activity is expected to be more convenient and/or specific in eliciting autoimmune suppression than administration of the  
15 entire collagen protein without risk of sensitizing the mammal to the autoimmune response inducing portions of the collagen protein(s). Additional immunosuppressive peptides can be identified by routine experimentation in light of the present specification, claims and figures.

20 T-cells from humans afflicted with rheumatoid arthritis can be collected from, e.g., peripheral blood and T-cells of the CD8+ type can be isolated and cloned. Those CD8+ T-cells that secrete TGF- $\beta$  in response to whole collagen can then be isolated, cloned, and used in an in vitro assay to test for their ability  
25 to secrete TGF- $\beta$  (and/or another cytokine) when stimulated by (in the presence of) a collagen peptide fragment. The ability of these cells to secrete TGF- $\beta$  can be assessed by monitoring the suppression of proliferation of e.g. CD4+ collagen-reactive T-cells in the presence of whole collagen, e.g. in a transwell  
30 system. The collagen peptide fragments that stimulate CD8+ T-cells to secrete TGF- $\beta$  will be orally tolerogenic. Such experiments have been described in U.S. patent application Serial No. 843,752 filed February 28, 1992. A method for isolating and cloning human T-cells has been described in U.S. patent applica-  
35 tion Serial No. 502,559, filed March 30, 1990 and Allegretta, M.

et al., Science 247:718-721, 1990.

The following are examples which are intended to illustrate the present invention without limiting its scope.

EXAMPLES

5           For the purposes of the three studies conducted and described below, the patient's arthritic state was measured utilizing several different criteria such as subjective pain, gross anatomical observations, timing of physical acts and subjective well-being as described by the patient. Gross  
10 anatomical observations included AM stiffness, grip strength and number of swollen joints and were made during monthly examinations by a physician of the arthritic joints before and during type I collagen treatment as compared with the same joints prior to treatment.

15           Monthly data measuring subjective pain involved applying gently pressure to each arthritic joint in turn by a physician and being told by the patient whether pain was experienced.

          Morning stiffness data were based on the patient's  
20 experience and reports on how long it took for their arthritic joints to become physically limber. Additionally, grip strength for each hand was measured each month with a standard mercury sphygmomanometer with the cuff inflated to 20 mm Hg. Finally, the patients were timed to measure how many seconds were needed  
25 to complete a 50 foot walk.

          Global assessment (P = poor; F = fair; G = good; VG = very good; and VP = very poor) was subjectively made by the attending physician. Progress was similarly subjectively assessed (B = better; W = worse; MB = medium better; MW = medium  
30 worse, S = same).

          NSAID stands for "nonsteroidal antiinflammatory drugs"; RF stands for "rheumatoid factor"; ESR stands for "erythrocyte sedimentation rate"; HCT stands for "hematocrit"; bid stands for "twice a day"; qid and qd stand for "per day"; IA stands for  
35 "intra-joint".

Daily dosage of whole type II chick collagen protein consumed was 0.1 milligrams for the first month and 0.5 milligrams for each subsequent month of treatment.

Example 1:

5 Water-soluble purified whole chick Type II collagen protein was obtained from commercial sources; (Genzyme, Boston, MA) or was purified according to the procedure of Trentham, D. et al., J. Exp. Med. 146:857, 1977. Patients, LS, MF, NS and CO, suffering from arthritis were given a solution of whole type II  
10 collagen protein in 0.01M acetic acid 0.1 or 0.5 mg/ml collagen. The patients were instructed to consume daily on an empty stomach a predetermined volume corresponding to 0.1 milligrams for the first month of treatment and 0.5 mg to all subsequent months of treatment. Most of the patients added the predetermined amount  
15 of type II collagen protein to orange juice to maintain solubility and shortly consumed the mixture.

Collagen treatment was discontinued after three months if the patient reported (and if the physician agreed) a substantial improvement. However, type II collagen treatment was subse-  
20 quently resumed when a patient reported a relapse into the arthritic state. Monthly data gathered for each of the above patients are summarized in Tables 1 - 4.

Table 1 is a summary of data gathered measuring the arthritic disease state of patient 1, LS a 30-year old female.  
25 Prior drug treatment of auranofin was discontinued during the present study. Surprising recovery from arthritis during the second month of collagen treatment prompted discontinuation of further therapy. Feldene (piroxicam) was administered during months 8 and 9 after collagen therapy initiation.

30 Substantial improvement was observed after the first month of treatment with whole type II collagen protein. Complete recovery was observed on the second month of treatment, but some weakness was still observed in the grip strength test. This muscular weakness may have been caused by the prolonged disuse  
35 and atrophy of the muscles from the arthritic pain of the joints.

On the third month of treatment, there was residual arthritis observed in one joint of the right hand which remained swollen, tender to slight pressure, the source of morning stiffness, and the reason for a weakened right hand grip. However, the joints  
5 in the left hand remain free of arthritis and the 50 foot walk was normal.

Treatment was discontinued for three and a half months, but reinstated on the seventh month when the patient LS experienced a mild arthritic relapse involving six joints in both  
10 hands. Ambulatory motion was not affected by this relapse. The patient was able to complete the 50 foot walk in normal time.

Treatment was reinstated at the normal daily dose of 0.5 milligrams. Again, remarkable recovery from the arthritic disease state was observed within a month with only residual  
15 arthritis present in one right hand joint. Grip strength doubled from that observed during the relapse.

Treatment was continued for one more month where patient LS exhibited the highest grip strength for both hands observed during the study, in spite of the remaining one  
20 arthritic joint in the left hand. After three month of collagen treatment to address the relapse, further treatment was again discontinued. To date, four months have passed without patient LS succumbing to or manifesting any clinical evidence of arthritis, other than the limited manifestation on a single right  
25 hand joint. Grip strength for both hands has decreased slightly.

Table 2 is a summary of the progress of a female patient, MF (23) participating in the same study as patient LS. Prior drug treatment with methotrexate was discontinued. Patient MF was observed to experience a surprising freedom from symptoms  
30 after the first month of treatment with a daily dosage of 0.1 milligrams of whole type II collagen protein for one month followed by 0.5 mg for two months. Complete recovery was observed after the second month of treatment. No recurrence of arthritis was observed during the subsequent eleven months.

35 Table 3 summarizes the data for a third patient in the

study, female NS (50). Methotrexate treatment was discontinued during this study. Remarkable diminution of symptoms was observed during the first month of treatment with collagen protein. The number of swollen joints was reduced from five to  
5 one, while all tender joints exhibited complete recovery. Morning stiffness was reduced from 120 minutes to 15 minutes, but only left hand grip strength showed a slight improvement. Residual swelling was observed on a single joint in the right hand, but complete recovery was observed in all other previously  
10 affected joints. Complete recovery was achieved during the third month of collagen treatment and further treatment was discontinued in spite of occasional arthritic flare-ups.

Table 4 encapsulates the data from patient C0 (a female, 42) the last participant of this study. A more gradual  
15 recovery from arthritis was observed compared to other patients. Half of the affected joints recuperated from arthritic swelling and tenderness after the first month of collagen treatment, but morning stiffness, grip strength for both hands and length of time to complete the 50 foot walk remained substantially the same  
20 as the disease state. Remarkable recovery was recorded during the second month of treatment and almost complete recovery was observed during the third month, barring a single tender joint and some slight ambulatory weakness in the 50 foot walk test. Treatment was discontinued for the next two months, but was  
25 reinstated when patient C0 experienced a partial relapse during the fifth month. After three more months of further treatment, patient C0 has also completely recovered from arthritis, to date.

## PATIENT 1 (LS)\*

	Month											
	0	1	2	3	4	5	6	7	8	9	12	
<u>date</u>	2/6/91	2/27/91	3/20/91	4/26/91			8/2/91	9/5/91	10/21/91	12/06/91	2/19/92	
Dose (mg)		0.1	0.5	0.5	0	0	0.5	0.5	0.5	0	0	
No. swollen joints	7	2	0	1	1	1	6	1	1	1(-R wrist)	1(-R wrist)	
No. tender joints	9	2	0	1	1	1	6	1	0	0	0	
AM stiffness (min)	60	60	0	15	0	40-60	60	0	0	0	0	
Grip strength (mm)												
R	60	55	88	72	120	86	70	75	140	102	130	
L	45	43	85	110	95	128	85	145	148	102	122	
50' walk (sec)	16	16	9	9	9	9	9	9	9	9	9	
Pt. global assessment	P	F	VG	G	VG	VG	F	G	VG	VG	VG	
Progress	Same	MB	Same	Same				B	B	B	Same	
NSAID			+	+	-	-	-	+	+	+	-	
								(Feldene)	(Feldene)	(1 mo) No. swelling R wrist: 4 mi/AM walks	working full time	
Other												
RF (date)	2/13/91 neg	3/6/91 neg	3/28/91 equiv.		5/8/91 neg				+			
											1:320	

\* Drug discontinued - Auranofin  
 Course I (initial) 2/6/91, final 5/5/91  
 Course II (initial) 8/2/91, final 11/2/91



23

## PATIENT 2 (MF)\*

	Month											
	0	1	2	3	4	5	6	7	8	10/23/91	12	
<u>date</u>	2/21/91	3/27/91	4/18/91?	5/29/91	6/26/91						02/20/92	
Dose (mg)		0.1	0.5	0.5	0	0	0	0	0	0	0	
No. swollen joints	3	1	0	0	0				0	0	0	
No. tender joints	3	0	0	0	0				0	0	0	
AM stiffness (min)	60	30	0	0	0				0	0	0	
Grip strength (mm)												
R	240	244	245	280	280				280	280	280	
L	180	238	242	275	280				280	280	280	
50' walk (sec)	14	11	9	9	9				9	9	9	
Pt. global assessment	P	G	G	VG	VG				VG	VG	VG	
Progress		B	B	B	B				Same	Same	Same	
NSAID	+	+	+	-	-				-	-	-	
Other												
RF (date)	2/8/91 neg	3/27/91 neg		5/29/91 neg					10/29/91 neg			

\* Drug discontinued - MTX

Course I (initial) 2/22/91, final 5/26/91

## PATIENT 3 (NS)\*

	Month									
	0	1	2	3	4	5	6	#10		
date	4/4/91	5/2/91	6/6/91	7/1/91?		9/19/91	10/24/91	02/20/92		
Dose (mg)		0.1	0.5	0.5	0	0	0	0		
No. swollen joints	5	1	1	0	0	1	1	1		
No. tender joints	5	0	0	0	2	1	0	0		
AM stiffness (min)	120	15	0	0	0	0	15	15		
Grip strength (mm)										
R	90	90	135	138	138	128	130	136		
L	76	82	135	138	138	192	190	186		
50' walk (sec)	17	13	12	12	12	12	12	13		
Pt. global assessment	P	F	VG	VG	F	VG	VG	VG		
Progress		B	B	B		B	Same	Same		
NSAID	+	+	+	+	+		+	+		
Other					(Motrin)	(Motrin x3)	(Motrin 2x3)	Same as		
							Right knee	month 6		
							Nc. swollen			
RF (date)	3/27/91									
	neg					neg				

\* Drug discontinued - MTX  
Course I (initial) 4/4/91, final 7/5/91

## PATIENT 4 (CO)\*

	Month									
	0	1	2	3	4	5	6	7	8	9
date	5/3/91	6/3/91	7/3/91	8/30/91		10/4/91	10/25/91	11/22/91	12/20/91	2/03/92
Dose (mg)		0.1	0.5	0.5	0	0	0.5	0.5	0.5	0
No. swollen joints	8	4	2	0	1	4	10	2	0	0
No. tender joints	9	5	2	1	0	6	12	2	0	0
AM stiffness (min)	120	120	15	<15	0	120	120	30	<15	0
Grip strength(mm)										
R	58	45	82	92	70	54	52	45	50	45
L	48	42	68	78	60	50	52	45	52	55
50' walk (sec)	9	9	9	9	10	13	16	13	12	12
Pt. global assessment	P	P	F	G	VG	F	VP	MB	MB	MB
Progress		Same	B	MB	MB	W	W	VG	VG	VG
NSAID	+	+	+	+	+	+	+	V-bid	V-bid	V-bid
Other										
									energy good	
RF (dose)	4/24/91 1:320				10/9/91 1:5120	10/29/91 1:1280				

\* Drug discontinued - MTX  
 Course I (initial) 5/3/91, final 8/3/91  
 Course II (initial) 10/25/91, final 1/25/91

Example 2

The same preparation dosage and protocol were used as in Example 1. Patients ML, MT, RB, LM, DH and SH suffering from rheumatoid arthritis were given chick type II collagen as in Example 1 and were monitored as in Example 1. All of these patients were also treated in a single-blind manner; their condition was worse than that of the Example 1 patients and their average age was about 9 years higher. One female patient (DH) withdrew because of no progress and inconvenience of travel.

Tables 5 - 10 summarize the data collected for 6 additional individual patients (5 females, 1 male) involved in a second on-going study on the effectiveness of oral administration of whole type I collagen protein to suppress or cure arthritis. Only patient RB (Table 7) experienced complete recovery. A second patient has withdrawn from the present study. The other patients have not experienced as remarkable a recovery as those patients involved in the first study, figures 1 - 4. There has been great improvement from arthritis, but the rate of recovery is more gradual. More time is needed to effectively evaluate the effects of the second study, but all of the patients of the second study had much more severe disease than the patients in the first study. Moreover, the second group of patients were generally older than the first (ages were 23, 36, 52, 55, 55 and 65). Nevertheless, patients 5 and 7 benefitted considerably and even patients 8 and 10 were able to discontinue use of cytotoxic drugs.

## PATIENT 5 (ML)\*

		Month									
		0	1	2	3	4	5	6	7	8	9
	date	8/9/91	9/5/91	10/3/91	10/31/91						
Dose (mg)			0.1	0.5	0.5						
No. swollen joints		12	6	5	4						
No. tender joints		16	6	5	2						
AM stiffness (min)		All day	120	120	30						
Grip strength (mm)											
	R	22	44	70	75						
	L	26	38	45	13						
50' walk (sec)		19	15	15	15						
Pt. global assessment		VP	P	VG	G						
Progress			Same	B	B						
NSAID		+	+	+	decrease Pred-2.5 mg O-Naprosyn						
		(Clinoril & Pred)									
Other			0.9cm module								
RF (date)		8/5/91 1:5120	10/3/91 1:5120	10/31/91 1:5120	1:5120						

\* Drug discontinued - MTX  
Course I (initial) 8/5/91, final 11/2/91

## PATIENT 6 (MT)\*

	Month									
	0	1	2	3	4	5	6	7	8	9
date	8/21/91	9/19/91	10/17/91	11/13/91						
Dose (mg)		0.1	0.5	0.5	0					
No. swollen joints	12	12	8	7						
No. tender joints	14	14	10	10						
AM stiffness (min)	All day	All day	till 2 pm	All day						
Grip strength (mm)										
	R	18	28	30	8					
	L	30	38	35	18					
50' walk (sec)	18	18	18	23						
Pl. global assessment	P	P	P	VP						
Progress	Same	Same	W							
NSAID	Naprosyn (750)	+	+Pred	increase Pred-5mg: Naprosyn-375bid						
Other	ESR-22 Hct-36									
RF (date)	8/21/91 1:640			11/13/91 +						

\* Drug discontinued - MTX-2 wks

Pred-2.5 mg during 0.1-early 0.5, resumed Course I (initial) 8/21/91, final 11/21/91

## PATIENT 7 (RB)\*

		Month										
		0	1	2	3	4	5	6	7	8	9	
	date	8/21/91	9/20/91	10/18/91	12/05/91	01/15/92						
Dose (mg)			0.1	0.5	0.5	0						
No. swollen joints		14	8	4	1	0						
No. tender joints		16	4	6	0	0						
AM stiffness (min)		120	90	90	0	60						
Grip strength (mm)												
	R	68	92	92	105	95						
	L	40	70	70	85	85						
50' walk (sec)		16	11	11	11	11						
Pt. global assessment		VP	MB	G	VG	VG						
Progress			MB	Same	B	Same						
NSAID		Naprosyn (1500)	+ (1000)	+ (1000) (Tyl-3am, 2pm) Pred	+ Tyl-2bid Pred-2mgqd	+ Pred-2mgqd Nap-55bid						
Other 5mm nodule				3mm nodule	Unchg'd	Same						
R-olecranon												
Pred-3mg/da												
RF (date)		8/21/91 1:5120	9/24/91 1:160	10/22/91 1:10240								

\* Drug discontinued - Imuran  
Course I (initial) 8/21/91, final 11/21/91

## PATIENT 8 (LM)\*

		Month									
		0	1	2	3	4	5	6	7	8	9
	date	10/3/91	11/1/91	12/5/91	1/9/92						
Dose (mg)			0.1	0.5	0.5	0					
No. swollen joints		10	10	8	9						
No. tender joints		14	14	10	10						
AM stiffness (min)		180	120	150	120						
Grip strength (mm)											
	R	30	52	38	35						
	L	28	42	28	32						
50' walk (sec)		22	23	22	20						
Pt. global assessment		P	P	P	P						
Progress			Same	Same	Same						
NSAID		Naprosyn bid	Nap-250bid Darvocet	Nap Darvocet							
Other		IA steroids/ both knees 2 wks ago									
RF (date)		10/8/91 1:160									

\* Drug discontinued - MTX  
Course I (initial) 10/3/91, final 1/3/92





## PATIENT 10 (SH)\*

	date	Month									
		0	1	2	3	4	5	6	7	8	9
	10/26/91	11/22/91	12/19/92	2/6/92							
Dose (mg)		0.1	0.5	0.5							
No. swollen joints	14	11	11	11							
No. tender joints	16	11	13	13							
AM stiffness (min)	480	360	360	360							
Grip strength (mm)											
	R	28	25	12	22						
	L	30	35	28	26						
50' walk (sec)	23	23	22	22							
	(with cane)										
Pt. global assessment	VP	P	P	P							
Progress		Same	Same	Same							
NSAID											
Other	Pred-10mgqd 6x500- Disalcid				Pred decrease 5mg						
RF (date)	1:320										

\* Drug discontinued - 6-MP  
Course I (initial) 10/26/91, final 1/26/91

Summary of Follow-Up of  
Patients in Examples 1 and 2

In these open-dosing studies 6 out of 10 patients received a considerable benefit from oral chick type II collagen as measured by reduction or elimination in most clinical symptoms. Three of the 10 patients continue to function at the improved condition without further treatment. Two other patients experienced a relapse but after a single-month resumption of collagen therapy they resumed the improved state. A sixth patient seemed to be improving as well as the first three but follow-up was lost. A seventh patient experienced only a mild improvement and two other patients experienced no improvement but were still able to discontinue cytotoxic drugs. The tenth patient withdrew from the study because of her poor initial condition, absence of improvement and remote location from the study center. Based on these very positive results, a double-blind study has been undertaken.

Example 3:

Sixty patients suffering from severe active arthritis as defined by the American Rheumatism Association participated in a double blind study. The patients were withdrawn from immunosuppressive drugs, however, patients remained on current NSAID and/or prednisone dose ( $\leq 10\text{mg/day}$ ) during the three month trial period. Patients randomly received either the identical treatment as patients described in Examples 1 and 2 or a placebo. The placebo consisted of 1.0 ml aliquots of 0.1 M acetic acid subject to membrane filtration. Assessments were performed by the same investigator at the initiation of treatment and 1, 2 and 3 month assessments generally were made at the same time of day. Conventional instruments were used to measure arthritis activity as described in Weinblatt, M.E. et al., N. Engl. J. Med. 312:818 (1985) and Sewell, K.L. et al., Arthritis Rheum. in press. Assistive devices were permitted for walk times. The clinical investigators cared for the patients during the trial and was

responsible for safety monitoring. Laboratory safety assessments were performed immediately prior to randomization and at 2, 4, 6, 8 and 12 weeks thereafter.

Complete blood count, differential and platelet count, liver  
5 and renal function tests, prothrombin and partial thromboplastin times, urinalysis and ESR were measured. HLA typing was performed for alleles A, B, C and D/Q loci as described in Kammer, G.M. and Trentham, D.E. Arthritis Rheum., 27:489 (1984). Serum IgM rheumatoid factor titers were determined by nephelometry  
10 try and IgG antibody titers to type II collagen by ELISA (as described in Helfgott, S.M. et al. Lancet 337:387 (1991)) immediately prior to and at the end of collagen or placebo administration.

At the conclusion of the study, 59 of 60 patients were  
15 evaluated. One patient was non-compliant and withdrew from the study after day 40. This subject was receiving collagen. Four other patients discontinued their study medication prior to the end of the three month treatment. These patients were assigned the worst score in the sample for the remainder of the study and  
20 were included in the analysis. All four were receiving the placebos. Table 11 illustrates that upon entry into the study, demographic clinical and laboratory parameters were similar in both groups. Comparisons between collagen- and placebo-treated patients were performed using the Wilcoxon rank-sum test for  
25 continuous measures such as the number of swollen joints, the Fisher exact test for dichotomous measures such as narcotic usage, and the chi-square trend test for Functional Class. Reported P-values are 2-sided. Twenty-eight (28) subjects received collagen and thirty-one (31) subjects received the  
30 placebo.

TABLE 11

PATIENT CHARACTERISTICS AT ENTRY<sup>1</sup>

	Collagen-treated (n=28)	Placebo (n=31)
Age (years $\pm$ SD)	50.3 $\pm$ 11.9	55.1 $\pm$ 12.9
Sex (% females)	71	68
Disease duration (years $\pm$ SD)	9.8 $\pm$ 6.2	10.3 $\pm$ 8.1
Rheumatoid factor [%,(no. tested)]	74 (27)	82 (28)
HLA-DR4+ [%,(no. tested)]	46 (28)	62 (29)
Collagen II antibody (% , titer $\geq$ 2)	32	13
Prednisone (% , $\leq$ 10 mg per day)	25	48
Immunosuppressive <sup>2</sup> withdrawn (5)	64	58
ESR <sup>3</sup> (mm/hr $\pm$ SD)	38.8 $\pm$ 30.4	33.8 $\pm$ 29.3

As shown in Figure 1 and Table 12, there was significant ( $P < 0.03$ ) improvement in the number of swollen joints in the collagen group as compared to placebo patients at months 1, 2 and 3. In addition, the number of tender or painful joints, joint-swelling and tenderness indices, and 15 m walk time improved significantly (Table 2). Four of the collagen patients (14%), versus none in the placebo groups had a complete resolution of disease, as defined by no swollen or tender joints, no morning stiffness or afternoon fatigue, no arthritis on physician and patient appraisals, Functional Class I status, and normal ESR ( $<$

<sup>1</sup> No differences between groups ( $P > 0.10$ ).

<sup>2</sup> Methotrexate, 6-mercaptopurine, azathioprine, hydroxychloroquine, sulfasalazine, auranofin, cyclosporin, cyclophosphamide or penicillamine. Seven patients were receiving combinations of these drugs (20). The remaining patients were not on immunosuppressive drugs at the time of entry because of prior lack of response or toxicity to at least 2 of the above compounds.

<sup>3</sup> Erythrocyte sedimentation rate (ESR), Westergren method.

28 min./hr.) while off prednisone.

**TABLE 12**

**DISEASE VARIABLES IN COLLAGEN- VERSUS PLACEBO-TREATED PATIENTS<sup>4</sup>**

Variable		Mean Value at Entry ±SE <sup>5</sup>	Difference from Entry at Month <sup>6</sup>		
			1	2	3
No. of joints swollen	Collagen	11.8±91	-2.7±52 <sup>b</sup>	-4.1±1.0 <sup>a</sup>	-3.1±1.1 <sup>a</sup>
	Placebo	12.0±82	2.0±1.4	0.9±1.6	1.3±1.4
No. of joints tender to pres- sure or painful on passive motion	Collagen	15.8±1.3	-4.1±1.1 <sup>a</sup>	-6.7±1.5	-5.4±1.8 <sup>a</sup>
	Placebo	15.6±83	1.1±1.4	-1.1±1.7	-0.1±1.6
Joint-swelling index	Collagen	13.3±1.1	-3.4±78 <sup>b</sup>	-4.8±1.2 <sup>a</sup>	-3.1±1.4 <sup>a</sup>
	Placebo	13.2±94	2.4±1.8	0.9±1.6	4.3±2.1
Joint-tenderness index/pain index	Collagen	17.5±1.3	-5.0±1.2 <sup>b</sup>	-7.6±1.7 <sup>a</sup>	-5.7±2.0 <sup>a</sup>
	Placebo	17.2±1.0	1.6±1.8	-0.5±2.1	3.0±2.4
15-m (50-ft) walk time (sec)	Collagen	13.2±65	0.0±27 <sup>b</sup>	0.24±.48 <sup>b</sup>	0.48±.58 <sup>b</sup>
	Placebo	14.9±91	1.9±59	3.8±1.2	20.8±7.5
Grip strength (mm Hg) Right	Collagen	105±9.4	0.1±6.0	6.3±7.8	-0.9±8.5
	Placebo	87±7.6	-7.3±6.2	-8.3±8.4	-16.4±8.8
Grip strength (mm Hg) Left	Collagen	106±9.8	0.6±5.6	6.6±7.4 <sup>b</sup>	-0.3±8.8
	Placebo	95±8.5	-8.9±5.8	-9.3±10.1	-13.8±9.7
Duration of morn- ing stiffness (min)	Collagen	155±51	64.8±106	51.2±100	56.4±92
	Placebo	210±55	130±76	168±108	195±100

Table 13 indicates the patients status by further measures as defined by Weinblatt, M.E. et al., N. Eng. J. Med. 312: 818 (1985); Sewell, K. L. et al., Arthritis Rheum. in press; and

<sup>4</sup> Number of patients (collagen/placebo) evaluated at entry = 28/31, 1 month = 27/29, 2 months = 26/26, and 3 months = 28/31; withdrawals treated as described (25).

<sup>5</sup> There were no significant differences between groups at entry ( $P>0.15$  for all variables by the Wilcoxon rank-sum test).

<sup>6</sup> Comparisons between groups showed significantly more improvement in the collagen-treated patients: <sup>a</sup>  $P<0.05$ ; <sup>b</sup>  $P<0.01$ ; by the Wilcoxon rank-sum test.

Steinbrocker, O., et al, JAMA: 659 (1949). Stability of improvement of immunosuppressives occurred in the group receiving collagen, whereas those patients receiving the placebo tended to deteriorate with time. When physician and patient global  
5 assessments were examined, this pattern was apparent ( $P < 0.05$ ). Worsening of condition as defined by Weinblatt et al. and Sewell et al. occurred in eleven patients in the placebo group (35%) but in only two collagen-treated patients (7%) ( $P < 0.01$ ) (Table 13). Analgesics for pain control could be prescribed by the clinical  
10 investigators. Twelve patients receiving the placebo (39%) required narcotics versus four of the subjects receiving collagen (14%) ( $P < 0.04$ ) (Table 13).

**TABLE 13****OUTCOME MEASURES IN COLLAGEN - VERSUS PLACEBO - TREATED PATIENTS<sup>7</sup>**

Variable	Entry <sup>8</sup>		Three Months	
	Collagen	Placebo	Collagen	Placebo
Physician assessment of severe or very severe disease <sup>9</sup>	36	52	39 <sup>10</sup>	68
Patient assessment of severe or very severe disease <sup>6</sup>	25	48	39 <sup>7</sup>	71
Worsening status <sup>11</sup>	-	-	17 <sup>7</sup>	35
Analgesic use <sup>12</sup>	-	-	14 <sup>7</sup>	39
Functional Class <sup>13</sup>				
I	0	0	18 <sup>14</sup>	13
II	57	58	39	19
III	43	42	39	58
IV	0	0	4	10

<sup>7</sup> Value is percentage of 28 collagen and 31 placebo patients.

<sup>8</sup> There were no significant differences between groups at entry ( $P > 0.10$  by the Fisher exact test or chi-square trend test).

<sup>9</sup> Versus moderate, mild, or absent (16); withdrawals treated as described (25).

<sup>10</sup> Comparisons between groups showed significantly more deterioration in the placebo-treated patients ( $P < 0.05$  by the Fisher exact test).

<sup>11</sup> Represents an increase of 30% or more from the entry value for the joint-swelling index and the joint-tenderness index/pain index (16).

<sup>12</sup> Narcotic without antiinflammatory properties, usually acetaminophen with codeine, propoxyphene, or pentazocine, prescribed at any time by the clinical investigator in an attempt to retain flaring patients in the trial.

<sup>13</sup> Determined by American Rheumatism Association criteria for Functional Class (28). I=no limitation from arthritis, II=mildly restricted, III=markedly restricted, IV=incapacity causing virtual bed or wheelchair existence.

<sup>14</sup> Trend for improvement in the collagen group not significant ( $P = 0.10$  by the chi-square trend test).



In an alternative analysis to reduce the influence of the four placebo patients who withdrew from the trial, similar significant ( $P \leq 0.05$ ) improvement from the collagen treatment was seen.

5       A placebo effect resembling that encountered in other arthritis trials was observed. Williams, H.T. et al., Arthritis Rheum 31: 702 (1988). Four patients (13%) in the placebo group and attained Functional Class I ranking. However, the relative improvement in collagen-treated patients was significant.

10       No significant side effects or changes were observed. None of the baseline features, including presence of antibodies to type II collagen, HLA haplotype or sex, was associated with responsiveness to collagen. All patients in the collagen group had the collagen discontinued after three months.

15       This controlled trial provides evidence that oral administration of small quantities of solubilized native heterologous type II collagen can improve the clinical manifestations of active RA and is safe. Since baseline values were acquired while 64% of the collagen-treated patients were on  
20 immunosuppressive drugs (usually methotrexate or 6-mercaptopurine), and further improvement occurred with collagen treatment, oral collagen may be equal to or more effective for RA than immunosuppressive therapy. Even more importantly, oral collagen would be a preferable treatment due to its lack of toxicity.  
25 Although exacerbation of disease or allergy to oral antigen theoretically could develop, this was not observed in these experiments nor in animal studies.

Four patients in the pilot study who had improvement while on collagen experienced a relapse approximately three months  
30 after cessation of therapy followed by benefit with re-initiation of collagen. In animals, protective effects of oral tolerance appear to last for two to three months after terminating antigen feeding. Recrudescence of disease after discontinuation of oral toleragen has also occurred in multiple sclerosis and uveitis  
35 patients. It therefore appears that additional administration

may be required to maintain the clinical effects of oral tolerance.

These experiments demonstrate the clinical efficacy of oral collagen. Optimum dosing and regimens for long-term control of disease can be determined by skilled practitioners according to known techniques.

Example 4: Collagen Derived Peptides

The collagen-derived peptides synthesized in-house using well-known techniques, specifically using a peptide synthesis apparatus (from Applied Biosystems) and following the supplier's instructions. The peptides had the amino acid sequences:

1.  $\text{NH}_2\text{-G-P-R-G-P-HP-G-P-HP-G-P-A-G-L-HP-G-P-S-G-E-HP-G-P-K-COOH}$
- 15 2.  $\text{NH}_2\text{-G-E-HP-G-A-HP-G-P-A-G-P-HP-G-E-HP-G-A-HP-G-P-A-G-P-HP-G-COOH}$
3.  $\text{NH}_2\text{-G-E-E-G-L-R-G-A-R-G-E-HP-G-E-R-G-P-HP-G-P-Q-G-A-R-COOH}$

The standard one-letter abbreviations for amino acids are used above with HP denoting hydroxyproline.

The foregoing peptides 1-3 were designed as follows:

The amino acid sequence of human Type II collagen was divided into amino acid triplets which tend to repeat (identically or with conservative substitutions). It was postulated that frequently occurring triplets would be likely to form T-cell epitopes including immunosuppressive epitopes, consistent with the concept of Bystander Suppression (U.S. Application Serial No. 843,752). The length of the peptides was based on the fact that the Class I restricted MHC cleft is 9 amino acids long and the Class II restricted MHC cleft is 15 amino acids long. Frequently occurring triplets were then selected and peptides containing them were synthesized and tested. Hydroxyproline was substituted for proline for convenience of synthesis.

Another peptide, No. 4, was also synthesized. This peptide is disclosed in Myers, L.K. et al., J. Exp. Med., 1989,

supra, and has the following sequence:

4.  $\text{H}_2\text{N-P-T-G-P-L-G-P-K-G-Q-T-G-E-L-G-I-A-G-F-K-G-E-Q-G-P-K-COOH}$

The foregoing peptides were tested for tolerizing activity as follows: (peptides 1-3 are also referred to as CDP1-CDP3 and peptide 4 is referred to as CB11P).

Lewis rats were variously fed 1, 10, 100 micrograms of a particular peptide or soluble chicken Type II collagen. Negative controls were fed an equal volume JGB buffer (127 mM dibasic potassium phosphate, 18.4 mM monobasic potassium, phosphate, pH adjusted to 7.6).

Peptide, collagen or buffer feedings were made -7, -5, and -2 days prior to adjuvant arthritis (AA) induction by challenge with 0.1 ml of complete Freund's adjuvant containing 10 mg/ml Mycobacterium tuberculosis. Arthritis severity was evaluated according to standard methodology, Trentham, D.E. et al., 1977, supra, by observation of each of four paws and grading on an arbitrary scale of 1-4 as follows: 0=normal; 1=redness only; 2=redness plus swelling; 3=severe swelling; and 4=joint deformity. The total arthritis score was the sum of the scores for all paws. Maximum arthritis score was the highest score for an animal over the course of the disease. According to this grading method the highest arthritis score possible is 16 (4 paws X 4 score-per-paw).

The results are summarized in Figures 2-5.

Figure 2 shows the suppressive ability of whole collagen.

Figure 3 represents the results of an experiment in which CDP1 was tested at 1, 10 and 100  $\mu\text{g}$  and showed marked suppression at 100  $\mu\text{g}$ .

Figure 4 shows another experiment in which CDP2 at 1  $\mu\text{g}$  and 10  $\mu\text{g}$  induced some suppression, especially at the early stages of AA.

Figure 5 shows a fourth experiment in which CDP3 at 1 and 10  $\mu\text{g}$  induced suppression.

In summary, CDP1 at 100  $\mu$ g, CDP2 at 10 and 1  $\mu$ g and CDP3 at 1 and 10  $\mu$ g clearly induced some suppression.

Also, in general there was suppression in the peptide-fed rats at the early stages of AA and for certain peptides and dosages the severity of the disease "peaked" earlier, i.e. was milder.

A technical problem with this experiment is that the rats fed buffer did not get very sick, which may be due to a number of technical reasons. Nevertheless, suppression was shown not to require entire CII.

Table 14 below shows the results in terms of arthritis score of the same type of experiment in which the "best" dosage levels of each peptide were used: 100  $\mu$ g of CDP1; CDP2 at 1  $\mu$ g; and CDP3 at 1  $\mu$ g. The Myers et al. peptide, CB11, was also tested at 10  $\mu$ g.

TABLE 14

MATERIAL FED	DAY POST-IMMUNIZATION			
	DAY 20	DAY 22	DAY 24	DAY 27
JGB (-control)	8.43 $\pm$ 1.45	8.43 $\pm$ 1.45	8.43 $\pm$ 1.45	6.9 $\pm$ 1.33
CII (+control)	6.57 $\pm$ 1.79	6.57 $\pm$ 2.20	6.42 $\pm$ 2.16	5.79 $\pm$ 2.10
CDP1	8.14 $\pm$ 1.92	8.28 $\pm$ 2.06	8.42 $\pm$ 2.11	7.28 $\pm$ 2.26
CDP2	4.57 $\pm$ 0.38	4.71 $\pm$ 1.52	4.71 $\pm$ 1.52	2.86 $\pm$ 0.88
CDP3	4.86 $\pm$ 2.04	4.64 $\pm$ 2.02	4.64 $\pm$ 2.01	3.86 $\pm$ 1.93
CB11	2.86 $\pm$ 1.62	2.86 $\pm$ 1.62	2.57 $\pm$ 1.67	2.29 $\pm$ 1.61

This experiment shows that CDP2 and CB11 performed best in terms of suppressing adjuvant arthritis. CDP1 did not show marked suppression in this experiment probably due to technical reasons. CDP2 and CDP3 showed substantial suppression. Whole Type II collagen did not suppress as well as expected again probably due to technical reasons. Nevertheless, significant suppression was demonstrated with three peptides (two or more points in the arthritis score is considered significant).

The comparative amino acid sequences:

Human $\alpha 1$ (II)	Bovine $\alpha 1$ (II)	Bovine $\alpha 1$ (I)	
gly pro met	olv VAL met	olv pro met	105
gly pro met	gly pro met	olv pro SER	
gly pro arg	gly pro arg	olv pro arg	
gly pro pro	gly pro pro*	olv LEU pro*	
gly pro ala	gly pro ala	olv pro PRO*	
gly ala pro	gly ala pro*	gly ala pro*	
gly pro gln	gly pro gln	gly pro gln	
gly phe gln	gly phe gln	olv phe gln	
gly asn pro	gly asn pro	olv PRO pro	
gly glu pro	gly glu pro*	gly glu pro*	132
gly glu pro	gly glu pro*	olv glu pro*	
gly val ser	gly val ser	olv ALA ser	
gly pro met	gly pro met	gly pro met	
gly pro arg	gly pro arg	gly pro arg	
gly pro pro	gly pro pro*	gly pro pro*	
gly pro pro	gly pro pro*	olv pro pro*	
gly lys pro	gly lys pro*	olv lys ASN	
gly asp asp	gly asp asp	gly asp asp	
gly glu ala	gly glu ala	gly glu ala	
gly lys pro	olv lys pro*	olv lys pro*	162
gly lys ala	olv lys SER	olv ARG PRO*	
gly glu arg	gly glu arg	gly glu arg	
gly pro pro	gly pro pro*	gly pro pro*	
gly pro gln	gly pro gln	gly pro gln	
gly ala arg	gly ala arg	olv ala arg	
gly phe pro	gly phe pro*	olv LEU pro*	
gly thr pro	gly thr pro*	olv thr ALA	
gly leu pro	gly leu pro*	olv leu pro*	
gly val lys	gly val lys*-glc-gla	olv MET lys*-glc-gla	
gly his arg	gly his arg	olv his arg	192
gly tyr pro	gly tyr pro*	olv PHE SER	
gly leu asp	gly leu asp	gly leu asp	
gly ala lys	gly ala lys*-glc-gla	olv ala lys*-glc-gla	
gly glu ala	gly glu ala	olv ASP ala	
gly ala pro	gly ala pro*	olv PRO ALA	
gly val lys	gly val lys	olv PRO lys	
gly glu ser	gly glu ser	olv glu PRO*	
gly ser pro	gly ser pro*	gly ser pro*	
gly glu asn	gly glu asn	olv glu asn	
gly ser pro	gly ser pro*	olv ALA pro*	222
gly pro met	gly pro met	olv GLN met	
gly pro arg	gly pro arg	gly pro arg	
gly leu pro	gly leu pro*	gly leu pro*	
gly glu arg	gly glu arg	olv glu arg	
gly arg thr	gly arg thr	olv arg PRO*	

gly pro ala	gly pro ala	gly pro PRO*	
gly ala ala	gly ala ala	gly SER ala	
gly ala arg	gly ala arg	gly ala arg	
gly asn asp	gly asn asp	gly ASP asp	
gly gln pro	gly gln pro*	gly ALA VAL	252
gly pro ala	gly pro ala	gly ALA ala	
gly pro pro	gly pro pro*	gly pro pro*	
gly pro val	gly pro val	gly pro THR	
gly pro ala	gly pro ala	gly pro ala	
gly gly pro	gly gly pro*	gly PRO pro*	
gly phe pro	gly phe pro*	gly phe pro*	
gly ala pro	gly ala pro*	gly ala VAL	
gly ala lys	gly ala lys*-glc-gla	gly ala lys*-glc-gla	
gly glu ala	gly glu ala	gly ala GLY	
gly pro thr	gly pro thr	gly pro thr	282
gly ala arg	gly ala arg	gly PRO arg	
gly pro glu	gly pro glu	gly SER glu	
gly ala gln	gly ala gln	gly PRO gln	
gly pro arg	gly pro arg	gly VAL arg	
gly glu pro	gly glu pro*	gly ala pro*	
gly thr pro	gly thr pro*	gly PRO pro*	
gly ser pro	gly ALA pro*	gly PRO ALA	
gly pro ala	gly pro ala	gly ALA ala	
gly ala ser	gly ala ALA	gly PRO ALA	
gly asn pro	gly asn pro*	gly asn pro*	312
gly thr asp	gly ALA asp	gly ALA asp	
gly ile pro	gly ile pro*	gly GLU pro*	
gly ala lys	gly ala lys*	gly ala lys*	
gly ser ala	gly ser ala	gly ALA ASN	
gly ala pro	gly ala pro*	gly ala pro*	
gly ile ala	gly ile ala	gly ile ala	
gly ala pro	gly ala pro*	gly ala pro*	
gly phe pro	gly phe pro*	gly phe pro*	
gly pro arg	gly ALA arg	gly ALA arg	
gly pro pro	gly pro pro*	gly pro SER	342
asp pro gln	GLY PRO THR	GLY PRO GLN	
gly ala thr	gly ala SER	gly ala PRO	
gly pro leu	gly pro leu	gly pro PRO*	
gly pro lys	gly pro lys*	gly pro lys*	
gly gln thr	gly gln thr	gly ASN SER	
gly lys pro	gly lys pro	gly lys pro	
gly ile ala	gly ile ala	gly ALA PRO*	
gly phe lys	gly phe lys*	gly ASN lys*	
gly glu gln	gly glu gln	gly ASP THR	
gly pro lys	gly pro lys*	gly ALA lys*	372
gly glu pro	gly glu pro*	gly glu pro*	
gly pro ala	gly pro ala	gly pro THR	
gly pro gln	gly VAL gln	gly ILE gln	
gly ala pro	gly ala pro*	gly PRO pro*	

gly pro ala	gly pro ala	gly pro ala	
gly glu glu	gly glu glu	gly glu glu	
gly lys arg	gly lys arg	gly lys arg	
gly ala arg	gly ala arg	gly ala arg	
gly glu pro	gly glu pro*	gly glu pro*	
gly gly val	gly gly ALA	gly PRO THR	402
gly pro ile	gly pro ALA	gly LEU PRO*	
gly pro pro	gly pro pro*	gly pro pro*	
gly glu arg	gly glu arg	gly glu arg	
gly ala pro	gly ala pro*	gly GLY pro*	
gly asn arg	gly SER arg	gly SER arg	
gly phe pro	gly phe pro*	gly phe pro*	
gly gln asp	gly gln asp	gly ALA asp	
gly leu ala	gly leu ala	gly VAL ala	
gly pro lys	gly pro lys*	gly pro lys*	
gly ala pro	gly PRO pro*	gly PRO ALA	432
gly glu arg	gly glu arg	gly glu arg	
gly pro ser	gly SER PRO*	gly ALA PRO*	
gly leu ala	gly ALA VAL	gly PRO ALA	
gly pro lys	gly pro lys*	gly pro lys*	
gly ala asn	gly SER PRO*	gly SER PRO*	
gly asp pro	gly GLU ALA	gly GLU ALA	
gly arg pro	gly ara pro*	gly ara pro*	
gly glu pro	gly ala ALA	gly ala ALA	
gly leu pro	gly leu pro*	gly leu pro*	
gly ala arg	gly ala LYS*	gly ala LYS*	462
gly leu thr	gly leu thr	gly leu thr	
gly arg pro	gly arg pro*	gly SER pro*	
gly asp ala	gly asp ala	gly SER PRO*	
gly pro gln	gly pro gln	gly pro ASP	
gly lys val	gly lys val	gly lys THR	
gly pro ser	gly pro ser	gly pro PRO*	
gly ala pro	gly ala pro*	gly PRO ALA	
gly glu asp	gly glu asp	gly GLN ASN	
gly arg pro	gly arg pro*	gly arg pro*	
gly pro pro	gly pro pro*	gly pro pro*	492
gly pro gln	gly pro gln	gly pro PRO*	
gly ala arg	gly ala arg	gly ala arg	
gly gln pro	gly gln pro*	gly gln ALA	
gly val met	gly val met	gly val met	
gly phe pro	gly phe pro*	gly phe pro*	
gly pro lys	gly pro lys*	gly pro LYS	
gly ala asn	gly ala asn	gly ala ALA	
gly glu pro	gly glu pro*	gly glu pro*	
gly lys ala	gly lys ala	gly lys ala	
gly glu lys	gly glu lys*	gly glu ARG	522
gly leu pro	gly leu pro*	gly VAL pro*	
gly ala pro	gly ala pro*	gly PRO pro*	
gly leu arg			

46

gly leu pro  
gly lys asp  
gly glu thr  
gly ala glu  
gly pro pro  
gly pro ala  
gly pro ala  
gly glu arg  
gly glu gln  
gly ala pro  
gly pro ser  
gly phe gln  
gly leu pro  
gly pro pro  
gly pro pro  
gly glu ala  
gly lys pro  
gly asp gln  
gly val pro  
gly glu ala  
gly ala pro  
gly leu val  
gly pro arg  
gly glu arg  
gly phe pro  
gly glu arg  
gly ser pro  
gly ala gln  
gly leu gln  
gly pro arg  
gly leu pro  
gly thr pro  
gly thr asp  
gly pro lys  
gly ala ser  
gly pro ala  
gly pro pro  
gly ala gln  
gly pro pro  
gly leu gln  
gly met pro  
gly glu arg  
gly ala ala  
gly ile ala  
gly pro lys  
gly asp arg  
gly asp val  
gly glu lys  
gly pro glu

gly thr asp  
gly pro lys\*  
gly ala ALA  
gly pro ala

gly ASN asp  
gly ALA lys\*  
gly ASP ALA  
gly ALA PRO\*

gly ile ala  
gly pro lys\*  
gly asp arg  
gly asp val  
gly glu lys  
gly pro glu

gly LEU PRO\*  
gly pro LYS  
gly asp arg  
gly asp ALA  
gly PRO lys  
gly ALA ASP

552

582

612

642

672



47

gly ala pro  
 gly lys asp  
 gly ala arg  
 gly leu thr  
 gly pro ile  
 gly pro pro  
 gly pro ala  
 gly ala asn  
 gly glu lys  
 gly glu val  
 gly pro pro  
 gly pro ala  
 gly ser ala  
 gly ala arg  
 gly ala pro  
 gly glu arg  
 gly glu thr  
 gly pro pro  
 gly pro ala  
 gly phe ala  
 gly pro pro  
 gly ala asp  
 gly gln pro  
 gly ala lys  
 gly glu gln  
 gly glu ala  
 gly gln lys  
 gly asp ala  
 gly ala pro  
 gly pro gln  
 gly pro ser  
 gly ala pro  
 gly pro gln  
 gly pro thr  
 gly val thr  
 gly pro lys  
 gly ala arg  
 gly ala gln  
 gly pro pro  
 gly ala thr  
 gly phe pro  
 gly ala ala  
 gly arg val  
 gly pro pro  
 gly ser asn  
 gly asn pro  
 gly pro pro  
 gly pro pro  
 gly pro ser

gly ala pro

gly ala pro

gly ASP VAL

gly glu lys\*

gly glu val

gly pro pro\*

gly ALA PRO\*

gly ASP LYS

gly glu ALA

gly pro SER

702

732

gly gln pro

gly ala lys\*

gly GLY gln

gly glu ala

gly gln lys\*

gly asp ala

gly ala pro\*

gly gln PRO\*

gly ala LYS

gly GLU PRO\*

gly ASP ala

gly ALA lys\*

gly asp ala

gly ala pro\*

762

792

822

gly lys asp  
gly pro lys  
gly ala arg  
gly asp ser  
gly pro pro  
gly arg ala  
gly glu pro  
gly leu gln  
gly pro ala  
gly pro pro  
gly glu lys  
gly glu pro  
gly asp asp  
gly pro ser  
gly ala glu  
gly pro pro  
gly pro gln  
gly leu ala  
gly gln arg  
gly ile val  
gly leu pro  
gly gln arg  
gly glu arg  
gly phe pro  
gly leu pro  
gly pro ser  
gly glu pro  
gly gln gln  
gly ala pro  
gly ala ser  
gly asp arg  
gly pro pro  
gly pro val  
gly pro pro  
gly leu thr  
gly pro ala  
gly glu pro  
gly arg glu  
gly ser pro  
gly ala asp  
gly pro pro  
gly arg asp  
gly ala ala  
gly val lys  
gly asp arg  
gly glu thr  
gly ala val  
gly ala pro  
gly ala pro

48

852

882

912

942

972

49

gly pro pro  
gly ser pro  
gly pro ala  
gly pro thr  
gly lys gln  
gly asp arg  
gly glu ala  
gly ala gln  
gly pro met  
gly pro ser  
gly pro ala 1002  
gly ala arg  
gly ile gln  
gly pro gln  
gly pro arg  
gly asp lys  
gly glu ala  
gly glu pro  
gly glu arg  
gly leu lys  
gly his arg 1032  
gly phe thr  
gly leu gln  
gly leu pro  
gly pro pro  
gly pro ser  
gly asp gln  
gly ala ser  
gly pro ala  
gly pro ser  
gly pro arg 1062  
gly pro pro  
gly pro val  
gly pro ser  
gly lys asp  
gly ala asn  
gly ile pro  
gly pro ile  
gly pro pro  
gly pro arg  
gly arg ser 1092  
gly glu thr  
gly pro ala  
gly pro pro  
gly asn pro  
gly pro pro  
gly pro pro  
gly pro pro  
gly pro gly

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1119

WE CLAIM

1           1. A method for treating an autoimmune disease  
2 selected from the group consisting of rheumatoid arthritis and  
3 polychondritis comprising orally administering to a human in  
4 need of such treatment whole collagen protein in an amount  
5 effective and for a period of time sufficient at least to  
6 significantly decrease at least one clinical symptom of said  
7 arthritis selected from the group consisting of joint tender-  
8 ness, joint swelling, morning stiffness, grip strength, 50-foot  
9 walk time, or to maintain said human in substantially stable  
10 condition while discontinuing cytotoxic drug administration.

1           2. The method of claim 1 comprising orally adminis-  
2 tering to said human whole type II collagen protein.

1           3. A method of treating autoimmune arthritis in  
2 humans comprising administering to a human in need of such  
3 treatment by-inhalation in aerosol form whole type I or whole  
4 type II collagen protein in an amount effective to treat  
5 arthritis.

1           4. A method of treating autoimmune arthritis in a  
2 mammal comprising orally, enterally or by-inhalation adminis-  
3 tering to said mammal of at least one biologically active type  
4 II collagen peptide fragment in an amount effective to treat  
5 said arthritis.

1           5. The method of claim 1, wherein said collagen is  
2 type II collagen and said amount is within the range of about  
3 0.1 to about 1 mg and said period of time is at least about 3  
4 months.

1           6. The method of claim 5 wherein said effective  
2 amount is within the range of about 0.1 to 0.5 mg/day.

1           7.    The method of claim 5 wherein said type II  
2 collagen is dissolved in a physiologically acceptable aqueous  
3 acidic medium.

4           8.    A method for treating rheumatoid arthritis in a  
5 human in need of such treatment comprising administering by-  
6 inhalation in aerosol form to said human an amount of protein  
7 selected from the group consisting of whole collagen, said  
8 amount being effective to significantly decrease at least one  
9 symptom of said arthritis.

1           9.    A method for treating rheumatoid arthritis in a  
2 human in need of such treatment comprising orally or enterally  
3 administering to said human a protein selected from the group  
4 consisting of whole type I, type II and type III collagen in an  
5 amount effective to suppress autoimmune response in at least  
6 one afflicted joint of said human.

1           10.   A method for treating autoimmune arthritis on a  
2 rodent model thereof comprising orally administering to a human  
3 or rodent in need of such treatment an effective amount to  
4 suppress said arthritis or model thereof of at least one  
5 peptide fragment selected from the group consisting of:  
6  $\text{NH}_2\text{-G-P-R-G-P-HP-G-P-HP-G-P-A-G-L-HP-G-P-S-G-E-HP-G-P-K-COOH}$ ;  
7  $\text{NH}_2\text{-G-E-HP-G-A-HP-G-P-A-G-P-HP-G-E-PH-G-A-HP-G-P-A-G-P-HP-G-COOH}$ ;  
8  $\text{NH}_2\text{-G-E-E-G-L-R-G-A-R-G-E-HP-G-E-R-G-P-HP-G-P-Q-G-A-R-COOH}$ ; and  
9  $\text{H}_2\text{N-P-T-G-P-L-G-P-K-G-Q-T-G-E-L-G-I-A-G-F-K-G-E-Q-G-P-K-COOH}$

1           11.   A pharmaceutical composition comprising at least one  
2 peptide fragment from type II collagen, said fragment having the  
3 property when administered orally, enterally or by inhalation to a  
4 mammal of inducing specific suppression of autoimmune response  
5 involved in autoimmune arthritis and rodent models thereof.

1           12. The composition of claim 11 further comprising a  
2 pharmaceutically acceptable carrier or diluent.

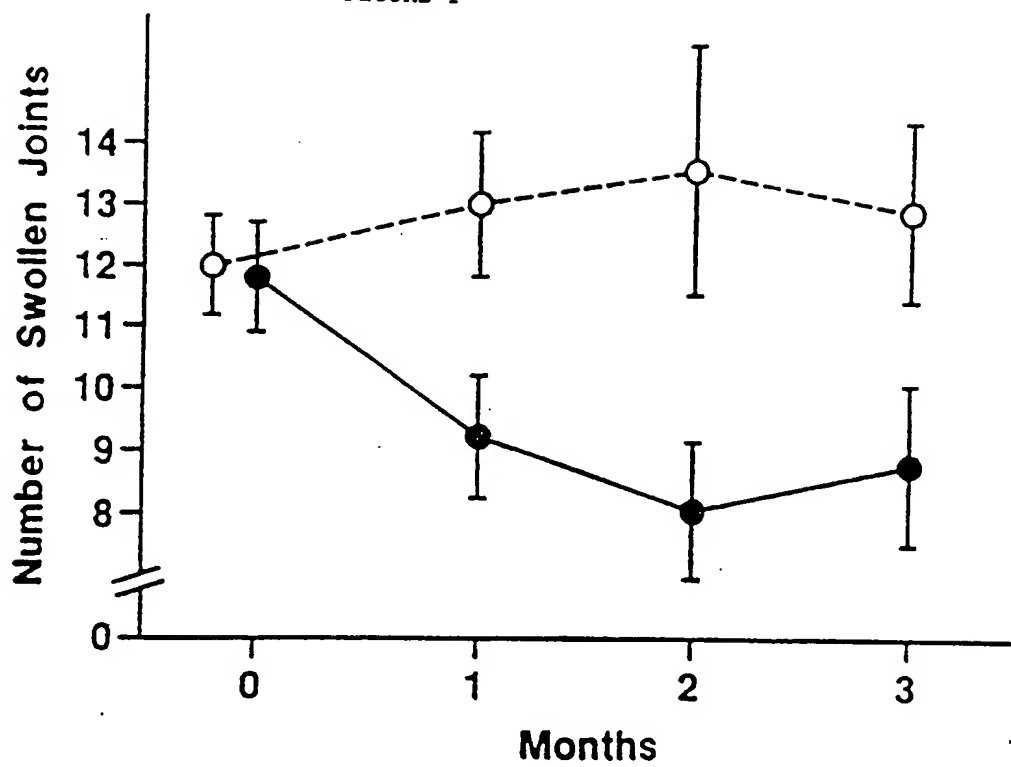
1           13. The composition of claim 14 adapted for enteral  
2 administration to a mammal.

1           14. The composition of claim 14 in aerosol form for by-  
2 inhalation administration to a mammal.

1           15. The composition of any one of claims 11-14 said at  
2 least one peptide being selected from the group consisting of:  
3 1.  $\text{NH}_2\text{-G-P-R-G-P-HP-G-P-HP-G-P-A-G-L-HP-G-P-S-G-E-HP-G-P-K-COOH}$   
4 2.  $\text{NH}_2\text{-G-E-HP-G-A-HP-G-P-A-G-P-HP-G-E-PH-G-A-HP-G-P-A-G-P-HP-G-COOH}$   
5 3.  $\text{NH}_2\text{-G-E-E-G-L-R-G-A-R-G-E-HP-G-E-R-G-P-HP-G-P-Q-G-A-R-COOH}$ .

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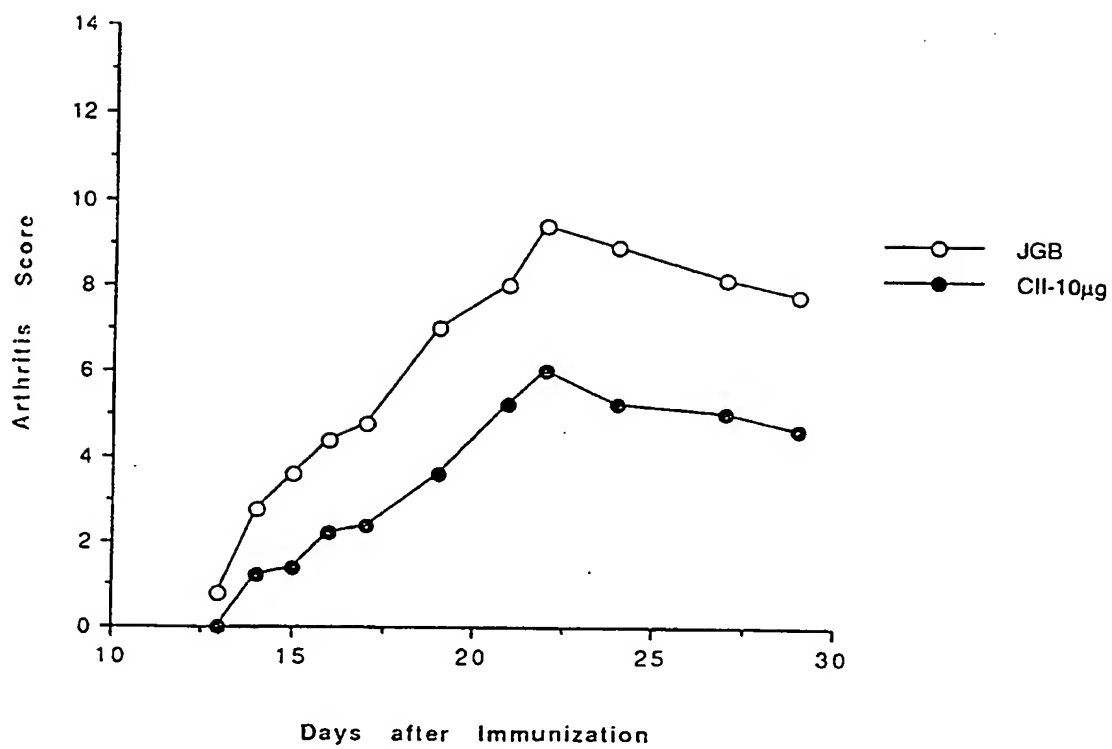
FIGURE 1



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FIGURE 2

## Effect of Feeding CII on AA

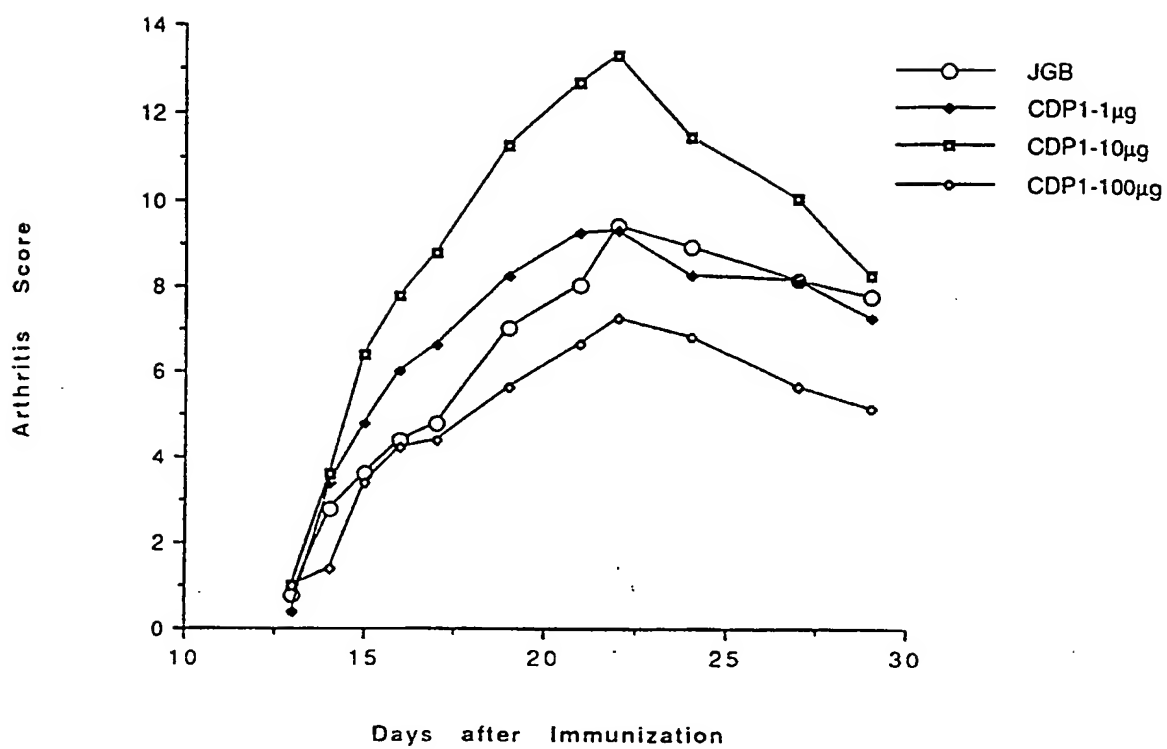




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FIGURE 3

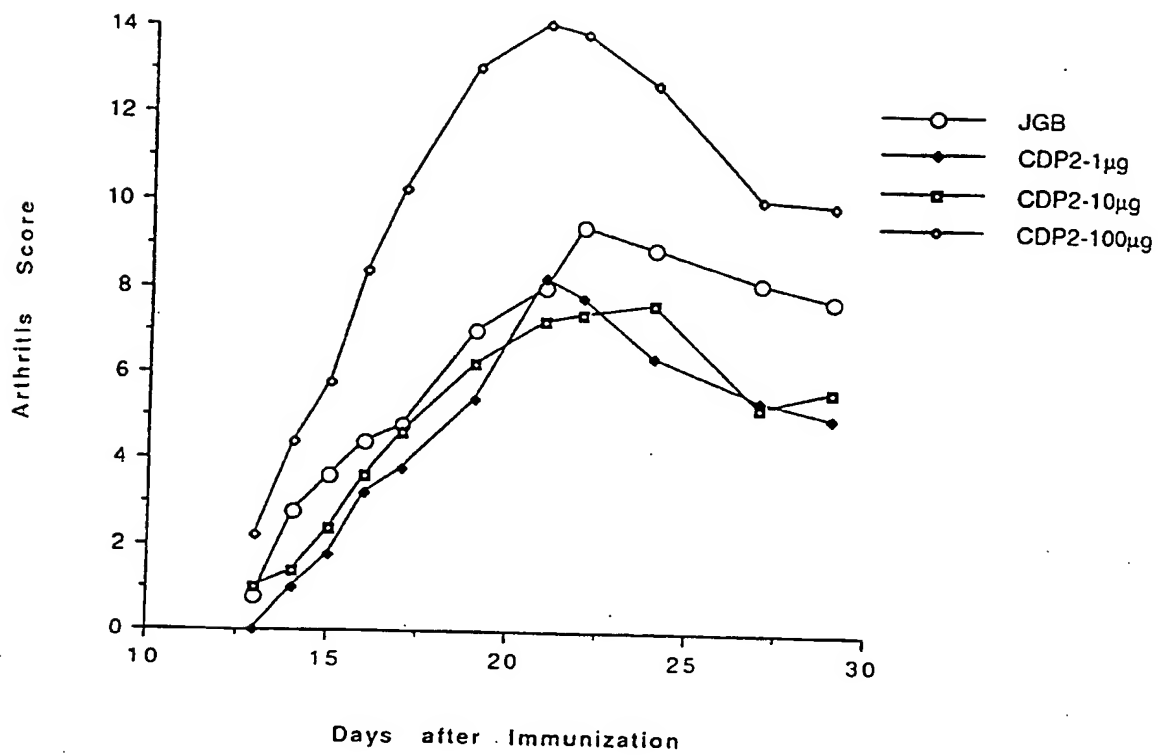
## Effect of Feeding CDP1 on AA



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FIGURE 4

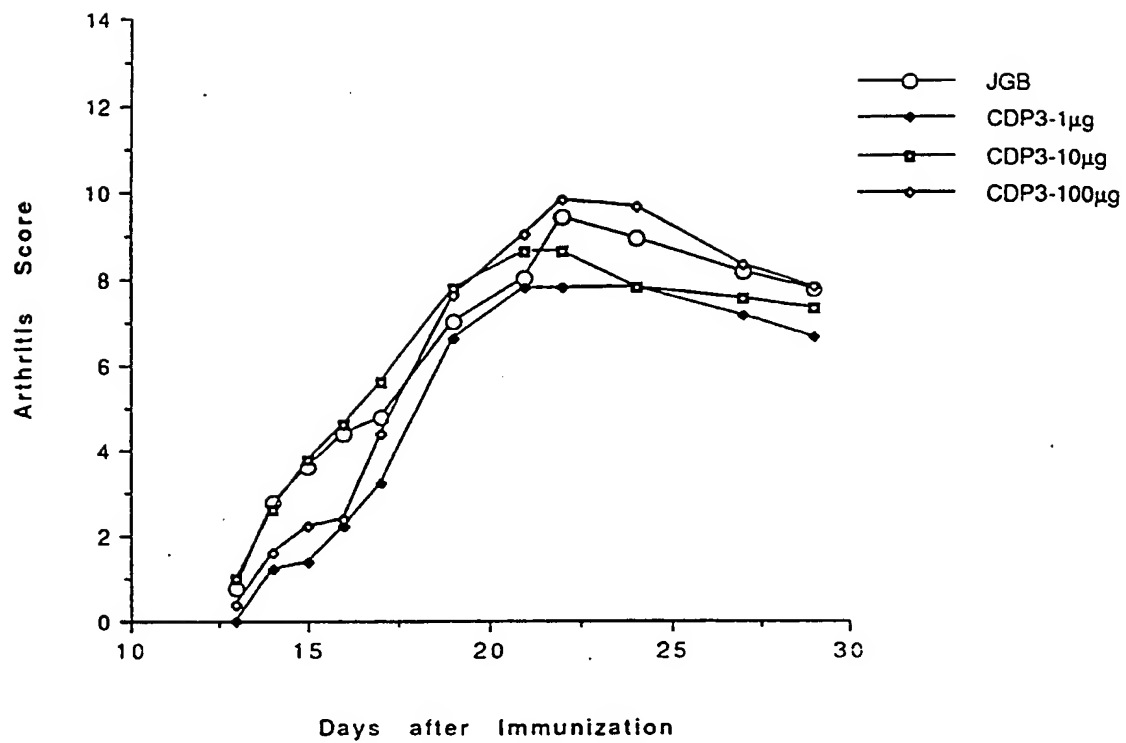
## Effect of Feeding CDP2 on AA



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FIGURE 5

## Effect of Feeding CDP3 on AA



## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US93/09113

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(5) : A61K 37/02, 37/12, 39/00; C07K 7/10, 15/06, 15/20

US CL : 424/88, 89; 514/2, 8, 12, 13, 21; 530/324, 325, 356

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/88, 89; 514/2, 8, 12, 13, 21, 825; 530/324, 325, 356, 868; 930/20

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

File Registry, File CA, Dialog (5, 155, 350, 351, 397, 398), File APS, IntelliGenetics

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X Y	The Journal of Experimental Medicine, Volume 170, issued December 1989, L. K. Myers et al, "Identification of an Immunosuppressive Epitope of Type II Collagen That Confers Protection Against Collagen-Induced Arthritis", pages 1999-2010, especially pages 2000 and 2004.	<u>4, 10-14</u> 1-10
X Y	Proc. Natl. Acad. Sci., USA, Volume 83, issued October 1986, C. Nagler-Anderson et al, "Suppression of type II collagen-induced arthritis by intragastric administration of soluble type II collagen", pages 7443-7446, especially page 7443.	<u>11-14</u> 1-10



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:	T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be part of particular relevance		
*E* earlier document published on or after the international filing date	X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*O* document referring to an oral disclosure, use, exhibition or other means		
*P* document published prior to the international filing date but later than the priority date claimed	*G*	document member of the same patent family

Date of the actual completion of the international search

21 JANUARY 1994

Date of mailing of the international search report

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# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US93/09113

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	Arthritis and Rheumatism, Volume 27, No. 7, issued July 1984, K. Phadke et al, "Collagen-Induced and Adjuvant-Induced Arthritis in Rats: Post-Immunization Treatment with Collagen to Suppress or Abrogate the Arthritic Response", pages 797-805, especially page 798.	11-14
X Y	The Journal of Immunology, Volume 145, No. 8, issued 15 October 1990, Z. J. Zhang et al, "Suppression of Adjuvant Arthritis in Lewis Rats by Oral Administration of Type II Collagen", pages 2489-2493, especially page 2489.	<u>11-14</u> 1-10
X	Clin. Exp. Immunol., Volume 64, issued 1985, H. S. G. Thompson et al, "Gastric administration of type II collagen delays the onset and severity of collagen-induced arthritis in rats", pages 581-586, especially page 582.	11-14
X	The Journal of Immunology, Volume 143, No. 12, issued 15 December 1989, L. K. Myers et al, "A CD4 Cell is Capable of Transferring Suppression of Collagen-Induced Arthritis", pages 3976-3980, especially page 3976.	11-14
X Y	Arthritis Rheum., Volume 33, 9 Suppl., issued 1990, Z. J. Zhang et al "Suppression of Adjuvant and Collagen Induced Arthritis by Aerosol Administration of Collagen Type II", page S106, Abstract No. C10.	<u>11-14</u> 1-10
X Y	Arthritis Rheum., Volume 33, 9 Suppl., issued 1990, Z. J. Zhang et al, "Suppression of Adjuvant Arthritis in Lewis Rats by Oral Administration of Type II Collagen", page S106, Abstract No. C11.	<u>11-14</u> 1-10